Research Office

Sponsorship Guidance

If you wish to conduct research at KCH we will need to review your application before it is submitted to R&D; if we are sponsoring or co-sponsoring your study, it will need to be reviewed before it can be signed off.

The UK Policy Framework for Health and Social Care Research (2017) requires all studies to have an identified 'Sponsor'. The Sponsor is an institution or organisation which takes on the legal responsibility for the initiation and management of the research. A sponsor's responsibilities include ensuring that:

- the resources are adequate to allow the collection, analysis, & protection of high quality research data;
- the project is scientifically sound; and
- indemnities are in place to cover those who are conducting or participating in research.

The institution will delegate the responsibility for issuing sponsorship to an individual who will sign the IRAS form on their behalf.

You will need to identify an institution that is willing to take on this role. The sponsor will generally be the organisation employing the Chief Investigator.

- KCH will generally sponsor studies when a Trust employee is acting as the Chief Investigator (CI).
- If the CI is a KCL employee and the research will take place in the NHS, then the College will act as sponsor and KCH review the application to determine if co-sponsorship is required.

Please note that on-going Sponsorship & Trust Management approval are subject to compliance with the conditions of Confirmation of capacity and capability.

Obtaining sponsorship

The Research & Innovation Office at KCH will review your IRAS application to ensure that it complies with national regulations and will advise when you are ready to apply for sponsorship. Please contact the Research Office as soon as possible to organise review.

The IRAS form must be submitted to the Sponsor for electronic signature **before** booking a date with Ethics/HRA. You will need to complete the KCH feasibility process before sponsorship can be obtained. More details of this and further guidance and help are available from the Research & Innovation Office.

CTIMPs

Where KCH is to sponsor a Clinical Trial of Investigational Medicinal Product (CTIMP) some of the sponsor's responsibilities are undertaken by the King's Health Partners Clinical Trials Office (KHP CTO).

For co-sponsored CTIMPs where the CI is a KCL employee, applicants will need to obtain College level signature, which will be coordinated through the KHP CTO quality team as part of preparing the application. Your CRA will advise you of the details to enter in the form, and how to obtain signature. Further details will be found on the KHP CTO website: http://www.khpcto.co.uk/

Student research

In general, the educational institution will act as Sponsor; the pre-submission review conducted at KCH will determine if alternative arrangements are required.

The table, below, contains the required sponsor information for inclusion within your IRAS application.

1) All studies other than Clinical Trials of Investigational Medicinal Products (CTIMPs)

KCH sponsored	KCL - KCH co-sponsored	KCL sole sponsored	KCH acting as UK Legal
•	•	·	Representative
A4: Who is the contact on behalf of th	e sponsor for all correspondence relating	g to applications for this project?	
The R&I Office,	Professor Reza Razavi	Reza Razavi,	The R&I Office,
King's College Hospital NHS	Director of Research Management &	Director of Research Management &	King's College Hospital NHS
Foundation Trust	Director of Administration (Health	Director of Administration (Health	Foundation Trust
161 Denmark Hill,	Schools),	Schools),	161 Denmark Hill,
LONDON, SE5 8EF.	Room 5.31, James Clerk Maxwell	Room 5.31, James Clerk Maxwell	LONDON, SE5 8EF.
Tel: 020 3299 1980	Building,	Building	Tel: 020 3299 1980
e-mail: kch-tr.research@nhs.net	57 Waterloo Road	57 Waterloo Road	e-mail: kch-tr.research@nhs.net
	London SE1 8WA	London SE1 8WA	
	Tel: +44 (0)207 8483224	Tel: +44 (0)207 8483224	
	Email: reza.razavi@kcl.ac.uk	Email: reza.razavi@kcl.ac.uk	
A64-1: Sponsor (or Legal Representati			1
Rahman Ahmed	Reza Razavi, as above.	Professor Reza Razavi	Overseas Sponsor Representative
Research & Innovation Governance		Director of Research Management &	details
Manager	Co-Sponsor:	Director of Administration (Health	
King's College Hospital NHS	Ann-Marie Murtagh	Schools),	
Foundation Trust	R&I Manager	Room 5.31, James Clerk Maxwell	
161 Denmark Hill,	King's College Hospital NHS	Building	
LONDON, SE5 8EF.	Foundation Trust	57 Waterloo Road	
Tel: 020 3299 6625	161 Denmark Hill,	London SE1 8WA	
e-mail: rahman.ahmed1@nhs.net	LONDON, SE5 8EF.	Tel: +44 (0)207 8483224	
	Tel: 020 3299 3841	Email: reza.razavi@kcl.ac.uk	
	e-mail: annmariemurtagh@nhs.net		
A64-2: Please explain how the respon	sibilities of sponsorship will be assigned	between the co-sponsors	
N/A	"The lead sponsor, King's College	N/A	N/A
-	London, will take primary		
	responsibility for ensuring that the		
	design of the study meets appropriate		

	T		
	standards and that arrangements are		
	in place to ensure appropriate		
	conduct and reporting. King's College		
	London also provides cover under it's		
	No Fault Compensation Insurance,		
	which provides for payment of		
	damages or compensation in respect		
	of any claim made by a research		
	subject for bodily injury arising out of		
	participation in a clinical trial or		
	healthy volunteer study (with certain		
	restrictions). The co-sponsor, King's		
	College Hospital, takes ultimate		
	responsibility for arranging the		
	initiation and management of this		
	research, and will take responsibility		
	for ensuring that appropriate		
	standards, conduct and reporting are		
	adhered to regarding its facilities and		
	staff involved with the project. King's		
	College Hospital will also undertake		
	the governance review for the project		
	and provide cover for clinical		
	negligence by any of its staff in		
	undertaking the research, under the		
	CNST scheme managed by the NHS		
	LA"		
A68: Give details of the lead NHS R&D contact for this research			
The R&I Office,	The R&I Office,	The R&I Office,	The R&I Office,
King's College Hospital NHS	King's College Hospital NHS	King's College Hospital NHS	King's College Hospital NHS
Foundation Trust	Foundation Trust	Foundation Trust	Foundation Trust
161 Denmark Hill,	161 Denmark Hill,	161 Denmark Hill,	161 Denmark Hill,
LONDON, SE5 8EF.	LONDON, SE5 8EF.	LONDON, SE5 8EF.	LONDON, SE5 8EF.
Tel: 020 3299 1980	Tel: 020 3299 1980	Tel: 020 3299 1980	Tel: 020 3299 1980

e-mail: kch-tr.research@nhs.net	e-mail: kch-tr.research@nhs.net	E-mail: kch-tr.research@nhs.net	E-mail: kch-tr.research@nhs.net
A74. What arrangements are in place for	or monitoring and auditing the conduct	of the research?	
"The monitoring and auditing of the conduct of research within the Trust lies with the R&I office. The Chief Investigator will take responsibility for the day to day management of the study. The R & I Office on behalf of the Sponsor may monitor and conduct random audits on a selection of studies in its clinical research portfolio, or may conduct for-cause monitoring visits following an incident or a breach or GCP or Protocol"	"KCL will take responsibility for ensuring adherence to GCP e.g. implementation, maintenance and monitoring of GCP and GCP-related procedures"	"KCL will take responsibility for ensuring adherence to GCP e.g. implementation, maintenance and monitoring of GCP and	Other insurance – Overseas sponsor indemnity details
from the management of the research?		eet the potential legal liability of the spon	sor(s) for harm to participants arising
NHS indemnity applies	Other insurance- KCL insurance applies	Other insurance- KCL insurance applies	Other insurance – Overseas sponsor insurance details
A76-2: What arrangements will be made	le for insurance and/ or indemnity to me	eet the potential legal liability of the spon	sor(s) or employer(s) for harm to
participants arising from the design of	the research?		
NHS indemnity applies	Other insurance- KCL indemnity applies	Other insurance- KCL insurance applies	Other insurance – Overseas sponsor indemnity details
A76-3: What arrangements will be made	le for insurance and/ or indemnity to me	eet the potential legal liability of investiga	ators/collaborators arising from harm
to participants in the conduct of the re-			<u>-</u>
NHS indemnity applies	NHS indemnity applies	Other insurance- KCL insurance applies	Other insurance – Overseas sponsor indemnity details

2) All Clinical Trials of Investigational Medicinal Products (CTIMPs)

KCH sole sponsored CTIMPs	KCL - KCH co-sponsored CTIMPs	KCL sole sponsored CTIMPs
A4: Who is the contact on behalf of the sponsor fo	r all correspondence relating to applications for this pro	oject?
Helen Critchley	Helen Critchley	Helen Critchley
Quality Manager	Quality Manager	Quality Manager
Kings Health Partners Clinical Trials Office	Kings Health Partners Clinical Trials Office	Kings Health Partners Clinical Trials Office
16 th Floor, Tower Wing,	16 th Floor, Tower Wing,	16 th Floor, Tower Wing,
Guys Hospital,	Guys Hospital,	Guys Hospital,
Great Maze Pond,	Great Maze Pond,	Great Maze Pond,
London,	London,	London,
SE1 9RT	SE1 9RT	SE1 9RT
Tel: 020 7188 5732	Tel: 020 7188 5732	Tel: 020 7188 5732
E-mail: helen.critchley@kcl.ac.uk	E-mail: helen.critchley@kcl.ac.uk	E-mail: helen.critchley@kcl.ac.uk
A64-1: Sponsor		
Rahman Ahmed	Professor Robert Lechler	Professor Robert Lechler
Research & Innovation Governance Manager	Vice Principal (Health)	Vice Principal (Health)
King's College Hospital NHS Foundation Trust	Counting House	Counting House
161 Denmark Hill,	Guy's Campus	Guy's Campus
LONDON, SE5 8EF.	King's College London	King's College London
Tel: 020 3299 6625	SE1 4UL	SE1 4UL
e-mail: rahman.ahmed1@nhs.net	Tel: 0207 188 8794	Tel: 0207 188 8794
	E-mail: Robert.lechler@kcl.ac.uk	E-mail: Robert.lechler@kcl.ac.uk
	Co-Sponsor:	
	Rahman Ahmed	
	Research & Innovation Governance Manager	
	King's College Hospital NHS Foundation Trust	
	161 Denmark Hill,	
	LONDON, SE5 8EF.	
	Tel: 020 3299 6625	
	e-mail: rahman.ahmed1@nhs.net	
A64-2: Please explain how the responsibilities of s	ponsorship will be assigned between the co-sponsors	

T		1	
N/A	"The lead sponsor, King's College London, will take	N/A	
	primary responsibility for ensuring that the design		
	of the study meets appropriate standards and that		
	arrangements are in place to ensure appropriate		
	conduct and reporting. King's College London also		
	provides cover under it's No Fault Compensation		
	Insurance, which provides for payment of damages		
	or compensation in respect of any claim made by a		
	research subject for bodily injury arising out of		
	participation in a clinical trial or healthy volunteer		
	study (with certain restrictions). The co-sponsor,		
	King's College Hospital, takes ultimate responsibility		
	for arranging the initiation and management of this		
	research, and will take responsibility for ensuring		
	that appropriate standards, conduct and reporting		
	are adhered to regarding its facilities and staff		
	involved with the project. King's College Hospital		
	will also undertake the governance review for the		
	project and provide cover for clinical negligence by		
	any of its staff in undertaking the research, under		
	the CNST scheme managed by the NHS LA"		
A68: Give details of the lead NHS R&D contact for the	is research		
The R&I Office,	The R&I Office,	The R&I Office,	
King's College Hospital NHS Foundation Trust	King's College Hospital NHS Foundation Trust	King's College Hospital NHS Foundation Trust	
161 Denmark Hill,	161 Denmark Hill,	161 Denmark Hill,	
LONDON, SE5 8EF.	LONDON, SE5 8EF.	LONDON, SE5 8EF.	
Tel: 020 3299 1980	Tel: 020 3299 1980	Tel: 020 3299 1980	
E-mail: kch-tr.research@nhs.net	E-mail: kch-tr.research@nhs.net	E-mail: kch-tr.research@nhs.net	
A74. What arrangements are in place for monitoring and auditing the conduct of the research?			
The KHP CTO will take responsibility for monitoring	The KHP CTO will take responsibility for monitoring	The KHP CTO will take responsibility for monitoring	
and auditing the conduct of research for CTIMPs.	and auditing the conduct of research for CTIMPs.	and auditing the conduct of research for CTIMPs.	
Each study will be assigned a CRA who will conduct	Each study will be assigned a CRA who will conduct	Each study will be assigned a CRA who will conduct	
monitoring visits; the frequency and type of visits to	monitoring visits; the frequency and type of visits to	monitoring visits; the frequency and type of visits to	
be based on the outcome of the risk assessment"	be based on the outcome of the risk assessment"	be based on the outcome of the risk assessment"	

A76-1: What arrangements will be made	de for insurance and/or indemnity to meet the potential leg	gal liability of the sponsor(s) for harm to participants arising
from the management of the research		
NHS indemnity applies	Other insurance- KCL insurance applies	Other insurance- KCL insurance applies
A76-2: What arrangements will be made	le for insurance and/ or indemnity to meet the potential leg	gal liability of the sponsor(s) or employer(s) for harm to
participants arising from the design of	the research?	
NHS indemnity applies	Other insurance- KCL insurance applies	Other insurance- KCL insurance applies
A76-3: What arrangements will be made	le for insurance and/ or indemnity to meet the potential leg	gal liability of investigators/collaborators arising from harm
to participants in the conduct of the re	search?	
NHS indemnity applies	NHS indemnity applies	Other insurance- KCL insurance applies